PSYCHIATRY IN THE DIGITAL AGE (J SHORE, SECTION EDITOR)



Smartphones, Sensors, and Machine Learning to Advance Real-Time Prediction and Interventions for Suicide Prevention: a Review of Current Progress and Next Steps

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Abstract

Purpose of Review As rates of suicide continue to rise, there is urgent need for innovative approaches to better understand, predict, and care for those at high risk of suicide. Numerous mobile and sensor technology solutions have already been proposed, are in development, or are already available today. This review seeks to assess their clinical evidence and help the reader understand the current state of the field.

Recent Findings Advances in smartphone sensing, machine learning methods, and mobile apps directed towards reducing suicide offer promising evidence; however, most of these innovative approaches are still nascent. Further replication and validation of preliminary results is needed.

Summary Whereas numerous promising mobile and sensor technology based solutions for real time understanding, predicting, and caring for those at highest risk of suicide are being studied today, their clinical utility remains largely unproven. However, given both the rapid pace and vast scale of current research efforts, we expect clinicians will soon see useful and impactful digital tools for this space within the next 2 to 5 years.

Keywords Suicide · Apps · Mobile health · Big data · Algorithms · Machine learning · Smartphones · Mental health

Introduction

Despite impressive advances in reducing mortality for cancer and cardiovascular diseases in the last decade, progress in reducing deaths by suicide has been limited. The most recent data from the US Centers for Disease Control and Prevention (CDC) suggest rates of suicide are rising, with a 24% age adjusted increase between 1999 and 2014 (https://www.cdc.

This article is part of the Topical Collection on *Psychiatry in the Digital Age*

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Published online: 28 June 2018

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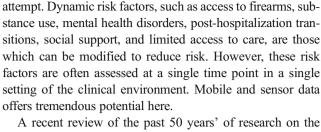


gov/nchs/products/databriefs/db241.htm). There are over one millions deaths by suicide per year globally with over 40,000 per year in the USA. This crisis around suicide has spurred national research agendas to fund, study, and create new tools aimed at reducing the morbidity and mortality related to suicide and self-harm. With worldwide shortages of mental health clinicians and services, there is now widespread interest and excitement in using digital technologies and artificial intelligence software to help understand, predict, and prevent suicide. The National Action Alliance for Suicide Prevention Research Prioritization Task Force (http://actionallianceforsuicideprevention.org/researchprioritization-task-force) and its Zero Suicide Initiative (http:// zerosuicide.sprc.org/about) supported by the Substance Abuse and Mental Health Services Administration (SAMHSA) calls for research in seven key areas around suicide care including identification of those at risk, delivery of evidence-based treatments, and data driven quality improvement among other items. Digital technologies like smartphones, data science tools like machine learning, and mobile app based delivery platforms each offer new tools and potential real time solutions to help advances these key research areas and reduce suicide. There are also already impressive efforts to use genetics and electronic medical record data and machine learning methods to advance suicide prevention that will not be the focus of this paper covering more mobile and sensor driven systems [1-3]. This article offers an overview of the potential, current state, and next steps for innovative mobile and sensor driven approaches to advance care for those at risk for suicide. While not exhaustive in scope, this article focuses on innovations in smartphone sensing, data science methods, and digital tools as outlined below in Fig. 1.

Understanding New Data to Inform Risk Assessment

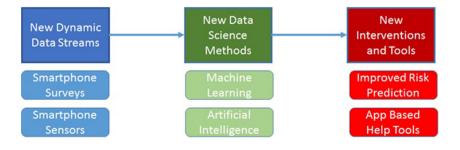
Digital technologies and artificial intelligence offer new tools and methods to better understand and predict suicide [4]. Risk assessments for suicide involve the evaluation of static and non-static risk factors. Static risk factors are those that cannot be changed, such as age, gender, and history of a suicide

Fig. 1 An overview of how new data and methods can help inform, create new interventions, and develop tools / models for improving risk prediction around suicide



prediction of suicide and suicide attempts revealed that only one-tenth of 1 % of such studies have examined risk factors of the short-term occurrence of such outcomes—that is, what factors predict whether is going to engage in suicidal behavior over the next 1-30 days [5]. Related research has noted that most known risk factors for suicidal behavior actually predict suicidal ideation, but not the transition from suicidal thoughts to attempts [6]. As such, there is a great need to identify factors that are the strongest predictors in the weeks, days, and hours before a suicide attempt, new objective markers of short-term risk, the need for new methods to combine these risk factors into actionable predictions [7•]. Thus, an important first step for new approaches to suicide prediction is the ability to capture novel dynamic risk data of clinical importance. While data gathered in-person by a licensed clinician remains the current gold standard for both healthcare and legal standards, there is now the ability to augment the clinical exam with new, dynamic, and real time data about potential risk factors for suicidal behavior.

Two evolving sources of this data include social media and smart devices. Focusing here on social media, platforms such as Twitter, Facebook, and Instagram offer important information about mental health and even risk of suicide [8]. Both the patterns of use [9] and nature of content [10] posted on Instagram has been correlated with mood in non-clinical samples—offering real time data that may inform risk. While the causal association between use of social media platforms like Facebook and mental health is still unclear, it is clear that data on both the usage patterns and content experienced provides novel clues to mood and suicide risk [11, 12]. The tragic fact that some individuals may choose to live stream their suicide on social media [13] or search for methods for suicide on Google has promoted responses from these tech platforms, discussed later, that highlight the urgency of understanding social media data to better inform risk for suicide.





Another novel data stream for understanding suicide risk are smartphones and connected sensors (aka 'wearables') providing real time and context aware monitoring. The ability of smartphones to survey users outside of the clinical visit presents an opportunity to assess suicide risk in a naturalistic setting over a longitudinal time frame. Techniques such as ecological momentary assessment (EMA) can be utilized to capture data on users' current behaviors in real time, increasing the ecological validity of these data [14]. Some evidence suggests that individuals may be more likely to disclose suicidal thoughts to a computer-based or smartphone survey [15]. Sensors on the phone such as GPS which can passively monitor activity patterns, accelerometers which can monitor activity and sedentary behavior, and call and text logs which can monitor social engagement, all already have pilot data supporting clinical correlations in mood, anxiety, bipolar, and schizophrenia disorders [9, 16., 17, 18.]. EMA research has already helped better characterize risk factors such as suicidal ideation and demonstrated its high variability over the course of days [15, 19...]. Asking about suicide on a smartphone survey or via other EMA tools does not appear itself to be a risk factor for increasing suicidal ideation [20], and early findings suggest that this approach can provide novel information about suicidal thoughts and behaviors. For instance, one recent study identified five potential subtypes of suicidal thinking, a finding replicated across two samples, with one subtype most strongly linked to recent suicidal behavior [19.]. Thus, smartphones can already offer data on real time dynamic risk factors for suicide that are currently near impossible to assess or monitor once the individuals leaves the clinical setting. Despite this potential, there is currently a lack of data regarding which social media or smartphone data streams are the most valuable, and valid, as novel digital risk markers for suicide. Further validation studies will be required to assess the utility of these markers and how these data might be used to inform practice.

Machine Learning and Artificial Intelligence to Analyze Risk Data

The second step in predicting suicide is the ability to analyze these data and generate clinical insights with tools like artificial intelligence, machine learning and statistical modeling. For example, the US Veterans' Administration REACH VET program uses predictive analytics on data derived from veterans' health records to identify those at highest risk for suicide [21]. Such predictive analytical models can be used to identify both long-term and short-term risk, each of which requires different approaches [22].

Focusing first on long-term risk prediction for populations, better forecasting of risk could lead to better allocation of resources, targeted prevention strategies, and improved clinical decision support. New statistical methods are being developed best utilize existing data and make most accurate predictions about risk. These newer statistical methods include machine learning-based tools like support vector machines, deep neural nets, random forests, and many others.

Population level suicide risk prediction studies have reported superior performance for modern statistical methods like elastic-net compared to traditional methods like logistic regression [1]. Many current efforts center around clustering individuals into novel subtypes that may offer a more accurate assessment of risk. For example, instead of using a few variables such as access to weapons, history of prior suicide attempts, etc. that are utilized in today's clinical risk assessments, researchers can now look at hundreds of predictors to generate a more personalized risk profile. Morales et al. examined 345 variables using decision tree techniques [23]. Researchers are also studying if machine learning methods such as neural nets can better classify risk from existing clinical scales and other machine learning methods can be used to identify risk for suicide from publically available Twitter posts [24, 25]. Due to their lack of rigid modeling assumptions, modern machine learning methods have a general advantage over classical statistical methods by being able to adaptively identify complex relationships between large variable sets and suicide risk.

There is also ongoing research on short-term risk prediction that can be used to inform personal risk. Short-term risk prediction is a more difficult problem due to the necessity of inference based on a small amount of data, which means that meaningful signals can more easily be lost due to noise from highly variable behaviors. In order to overcome this hurdle, it is necessary to analyze a wide variety of behavioral data in a multivariate fashion, often collected from a myriad of sources, in order to make successful short-term predictions. Because of this, data integration is particularly essential for the success of short-term risk prediction. Methods like neural networks, which, unlike some classic statistical regression models, are designed to accommodate high-dimensional inputs, can be useful for short-term risk prediction. One study used a neural network to predict suicide risk in the next 72 h for 255 emergency department patients compared to a psychiatrists' risk assessment and reported that the neural network was able to model psychiatrists' decision making [26]. Predicting within person risk is also possible with many other methods. For example, Depp et al. utilized the Least Absolute Shrinkage and Selection Operator (LASSO) statistical method to analyze 20-week self-reported mood-related data in patients with bipolar II to build a model with an 0.91 area under the curve score of 0.91 [27]. The same group also employed functional linear models in a different study to create a model with 88% sensitivity with 95% specificity for elevated suicidal ideation 1 week prior to in-person clinician assessment based on at home self-reported daily measures in a population with



bipolar disorder [28]. However, prospective comparisons of machine learning tools to predict short-term suicide risk have not yet been conducted, despite the tremendous potential. In part, this is because the short-term risk factors derived from social media and smartphone as still not well characterized or validated. Crucially, even the best computational methods for risk assessment will only ever be as good as the risk factor data provided to them. Thus improvements in smartphone and sensor data quality are critical for realizing the full potential of new machine learning methods operating with this data.

Digital Technology for Suicide Prevention

While predicting risk of suicide is important, ensuring that information is acted upon is critical in preventing deaths. Common reasons that those at high risk for suicide do not seek professional help include lack of time, preference for selfhelp, and stigma [29]. Smartphone and technology-based suicide resources thus appear as promising tools that may be able to soon identify those at highest risk and one day offer just in time interventions without the stigma of conventional treatment. However, the evidence today suggests that current smartphone apps targeting suicide on the commercial marketplaces including the Apple App Store and Google Play store are largely not evidence based and few have been clinically validated [30, 31]. Larsen et al. noted in 2016 that not a single app they examined on the Apple or Android operating system offered comprehensive evidence-based support for suicide [31]. Given that there are already over 10,000 mental healthrelated smartphone apps available on the iTunes and Android marketplaces [32] and few of these apps, especially for suicide prevention, have ever been assessed—selecting an app can be challenging.

Current research studies are evaluating various online and mobile interventions for reducing suicidal thoughts and behaviors. One such technique uses automated text messages sent following a hospital-treated suicide attempt, where participants have been shown to re-engage with healthcare services after receive a message [33]. This intervention, sharing elements of the successful Caring Letter suicide prevention intervention [34] which was shown to prevent suicide deaths, may offer even more potential if in the future able to send on demand and customized messages based off environmental and personal triggers.

A web-based intervention has been shown to be effective [35] and cost-effective [36] at reducing suicidal ideation in a randomized controlled trial with open community recruitment in the Netherlands. A similar study in Australia, however, found no significant difference in a study including participants with more severe suicidal ideation and when compared to a control group receive an attention-matched (rather than waitlist) control [37]. Recently, research from the Nock Lab at

Harvard University has explored the potential of smartphone apps designed increase aversion to self-injurious thoughts and behaviors [38]. Sadly, there are also dangerous apps and online programs available to anyone today, including even apps that encourage self-harm and suicide (https://www.forbes.com/sites/andrewrossow/2018/02/28/cyberbullying-taken-to-a-whole-new-level-enter-the-blue-whale-challenge/#4336725d2673). Thus, it may be important to ask patients about app use and help steer them towards better online and apps tools while avoiding harmful ones.

There is little data on the use of these smartphone apps for child and adolescent populations [39] with concerns remaining about the privacy, legal, and efficacy considerations given the current limited research and evidence base. This is concerning as rates of suicide are increasing most rapidly in younger demographics. Likewise, there is also a dearth of research on digital tools for suicide prevention in older adults. Considering that older adults are at highest risk for death by suicide, there is an even greater need to offer new effective tools for this population.

In part, the current limitations of these tools reflects that the algorithms and clinical decision support behind them remains limited, as alluded to in the above sections. While the need for these tools is obvious, they can only be as effective as the data science and data driving them.

Other Limitations

The potential of a closed-loop system using mobile technology to collect real-time data on dynamic risk factors is impressive, and the prospect of using artificial intelligence to predict imminent risk from this sea of data to prevent suicide 'just in time' is exciting. However, there are several key barriers which must also be considered. The ethics, real-world implementation, and legal liability are complex issues which cannot be overlooked. Briefly addressing ethical issues—the amount of personal data that today's smartphone sensors are able to gather and implications of algorithms predicting suicide risk when it is not present, and conversely a false negative of missing risk, raise questions related to informed consent, basic privacy protections, and autonomy—some of which were relevant following the 2014 launch of the Samaritans' Radar app for Twitter [40] and again raised with the 2018 Cambridge Analytica Facebook data misuse. Further consideration for real-world implementation raises issues of suicide prevention algorithms and apps outside of the research environment raises unknown issues of cost, data stewardships and control, and clinical systems integration. Finally, the medical legal issues around technology driven suicide prevention also must be clarified. Parallel to issues raised self-driving cars harming humans, who will be liable for harm caused by errors in these suicide prevention systems? The answers to each of these



problems remain complex ethical-social-legal questions that require broad collaborations beyond psychiatry and data science.

Recommendations for Clinicians Regarding Apps

Understanding both the potential and easy availability of mental health apps from commercial marketplaces like the Apple Itunes and Android Google Play stores, clinicians should expect patients to begin asking about use of these apps. Using the American Psychiatric Association's App Evaluation framework [41], we offer some general guidance towards informed decision making and discussions about apps. Given how quickly apps update and how diverse patient presentations are, this framework does not recommend any particular app but rather guides collaborative and informed decision making around apps. A first step is to evaluate the privacy and security of the app. Any app monitoring self-harm or thoughts of suicide should offer strong digital security protections and privacy with a commitment not to sell user data like many health apps. Checking the privacy policy will often be informative. As a second step, clinicians should educate patients on the current evidence—much of which is summarized above—and explain that the evidence is still nascent. This does not mean that an app will not be effective for a given individual, but rather that that individual needs to be informed that any app use is likely "off label" when recommended by a clinician. Third, assessing for usability is critical as the majority of mental health apps are never opened more than once. Checking that an app is easy to use and appropriate for the individual in question is always necessary. Finally, and fourth, there must be a plan for the app data to be shared with the clinician and used as part of the treatment plan. The goal of app use is never to fragment care, but rather augment care through strengthening the clinician-patient relationship. More details on evaluating apps are available on the American Psychiatric Association's website at https://www. psychiatry.org/psychiatrists/practice/mental-health-apps/appevaluation-model

Conclusion

Whereas the potential of a new generation of real time suicide risk prediction data, algorithms, and tools is real—challenges remains before they are ready for widespread clinical use. Appropriate data collection mechanisms will be need to developed, machine learning models trained, and suicide markers tested in validation studies before this potential can be fully realized. This will need to be conducted with the complex ethical landscape of technological innovation in

mind, in order to encourage users to engage with and trust these novel applications.

Compliance with Ethical Standards

Conflict of Interest John Torous, Colin Depp, Theodore D. Cosco, Ian Barnett, Matthew K. Nock, and Joe Firth declare that they have no conflict of interest.

Mark E. Larsen reports a grant from the National Health and Medical Research Council.

Human and Animal Rights and Informed Consent This article does not contain any studies with human or animal subjects performed by any of the authors.

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